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ON SOLVABILITY OF THE NEUMANN PROBLEM
IN ENERGY SPACE FOR A DOMAIN WITH PEAK

Abstract

We describe the dual space of the boundary trace space for functions with
finite Dirichlet integral for a domain with the vertex of an isolated cusp at the
boundary. This leads to conditions of solvability of the Neumann problem for
elliptic equations of second order. In particular, explicit necessary and sufficient
condition for \( q \) such that the Neumann problem is solvable if boundary function
is in \( L_q \) over boundary of a domain with outer peak is given.

Key words: Traces of Sobolev functions, irregular domains, Neumann problem.


1. Introduction.

Let \( \Omega \) be a domain in \( \mathbb{R}^n \) and let \( W^1_2(\Omega) \) denote the space of functions on \( \Omega \)
with finite norm

\[
\|v\|_{W^1_2(\Omega)} = \left( \int_{\Omega} (|v(x)|^2 + |\nabla v(x)|^2)dx \right)^{1/2}.
\]

By \( TW^1_2(\Omega) \) we mean the space of boundary traces \( u|_{\partial \Omega} \) of the functions in
\( W^1_2(\Omega) \) with norm

\[
\|v\|_{TW^1_2(\Omega)} = \inf\{\|u\|_{W^1_2(\Omega)} : u \in W^1_2(\Omega), u|_{\partial \Omega} = v\}.
\]

If \( \Omega \) belongs to the class \( C^{0,1} \) (i.e. \( \Omega \) has compact closure and its boundary
is locally a Lipschitz graph), then Gagliardo’s theorem [1] says that \( TW^1_2(\Omega) \)
coincides with the space \( W^{1/2}_2(\partial \Omega) \) consisting of functions on \( S = \partial \Omega \) having
finite norm

\[
\|v\|_{W^{1/2}_2(S)} = \|v\|_{L_2(S)} + [v]_S
\]

where

\[
[v]_S = \left( \iint_{S \times S} |v(x) - v(\xi)|^2 \frac{ds_x ds_\xi}{|x - \xi|^n} \right)^{1/2}, \quad (1.1)
\]

and \( ds_x, ds_\xi \) are the area elements on \( S \).
In case $\Omega \in C^{0,1}$ and $S \subset \partial \Omega$ we introduce the space $W^{-1/2}_2(S)$ of linear continuous functionals on $W^{1/2}_2(S)$ with norm

$$\|f\|_{W^{-1/2}_2(S)} = \sup \{ \langle f, v \rangle : \|v\|_{W^{1/2}_2(S)} \leq 1 \}.$$ 

By Sobolev’s theorem the restriction operator $W^{1/2}_2(\Omega) \ni v \mapsto v|_{\partial \Omega} \in L^q(\partial \Omega)$, $q = 2(n - 1)/(n - 2)$, is continuous for $\Omega \in C^{0,1}$, hence $L^{q'}(\partial \Omega) \subset W^{-1/2}_2(\partial \Omega)$ with minimal possible exponent $q' = 2(n - 1)/n$.

Let $\Omega$ be a domain in $\mathbb{R}^n$ of class $C^{0,1}$. Consider the Neumann problem

\begin{align*}
-\sum_{i,j=1}^{n} \frac{\partial}{\partial x_i} \left( a_{ij}(x) \frac{\partial u}{\partial x_j} \right) + a(x)u &= 0, \quad x \in \Omega, \quad (1.2) \\
\sum_{i,j=1}^{n} a_{ij}(x) \frac{\partial u}{\partial x_j} \cos(\nu, x_i)|_{\partial \Omega} &= f. \quad (1.3)
\end{align*}

We assume that $a_{ij}, i, j = 1, 2, \ldots, n$, and $a$ are functions in $L^\infty(\Omega)$, $a_{ij} = a_{ji}$, $a(x) \geq \text{const} > 0$ a.e. in $\Omega$, and the following ellipticity condition holds

$$\sum_{i,j=1}^{n} a_{ij}(x)\xi_i \xi_j \geq c |\xi|^2 \quad \text{for all} \quad \xi \in \mathbb{R}^n, \quad x \in \Omega,$$

where $c = \text{const} > 0$. Furthermore $\nu$ in (1.3) denotes the unit outward normal to $\Omega$ at the point $x \in \partial \Omega$. A function $u \in W^{1/2}_2(\Omega)$ is called the solution of the problem (1.2), (1.3) if

$$[u, v] = \int_{\partial \Omega} f(x)v(x)ds_x, \quad (1.4)$$

for all $v \in W^{1/2}_2(\Omega)$, where $ds_x$ is the area element in $\partial \Omega$ and

$$[u, v] = \int_{\Omega} \left( \sum_{i,j=1}^{n} a_{ij}(x) \frac{\partial u}{\partial x_j} \frac{\partial v}{\partial x_i} + a(x)uv \right) dx.$$

In case $f \in W^{-1/2}_2(\partial \Omega)$ the functional on the right of (1.4) is continuous with respect to $v \in W^{1/2}_2(\Omega)$ so that the above problem is uniquely solvable.

Suppose that $\Omega$ is a bounded domain whose boundary has a finite number of non-Lipschitz points (for example, there is a vertex of an isolated peak at the boundary). Then, generally $TW^{1/2}_2(\Omega) \neq W^{1/2}_2(\partial \Omega)$ and hence the space $TW^{1/2}_2(\Omega)^*$, dual of $TW^{1/2}_2(\Omega)$, does not coincide with $W^{-1/2}_2(\partial \Omega)$. Nevertheless, we can set problem (1.2), (1.3) for such $\Omega$ and observe that for $f \in TW^{1/2}_2(\Omega)^*$ the right part of (1.4) is again a continuous linear functional in $W^{1/2}_2(\Omega)$. Therefore, the above Neumann problem has a unique solution.

Below, in Sec. 3 we give a description of the space $TW^{1/2}_2(\Omega)^*$ for a domain with the vertex of an outer peak at $\partial \Omega$ in terms of the spaces $W^{-1/2}_2$ for Lipschitz
surfaces and in terms of some function spaces for interval \((0,1)\) of the real axis. Theorem 1 in Sec. 3 is the principle result of the paper. Its proof is based on explicit characterization of the space \(TW^1_2(\Omega)\) for a domain with outer peak (see [3], [4], [5, Chap. 7]). The description of the dual space of \(TW^1_2(\Omega)\) leads to some explicit conditions on boundary data in (1.3) which provide the solvability of the problem (1.2), (1.3). For example, necessary and sufficient conditions on \(f \in L^q(\partial\Omega)\) are given for the Neumann problem to be uniquely solvable in a domain with outer peak. The case of a domain with inner peak is considered in Sec. 4. Sec. 2 contains some auxiliary assertions.

2. Notation and some lemmas.

We now give the definition of a domain with outer peak.

Let \(\Omega\) be a bounded domain in \(\mathbb{R}^n\) \((n>2)\). Suppose that the point \(O\) belongs to \(\partial\Omega\) and the surface \(\partial\Omega \setminus \{O\}\) is locally a Lipschitz graph. We locate at \(O\) the origin of the Cartesian coordinates \(x = (y,z)\), \(y \in \mathbb{R}^{n-1}\), \(z \in \mathbb{R}^1\). Let \(\varphi\) be an increasing function in \(C^0([0,1])\) such that \(\varphi(0) = 0\), \(\varphi'(t) \to 0\) as \(t \to +0\), and let \(\omega\) be a bounded simply connected domain in \(\mathbb{R}^{n-1}\) of class \(C^0\).

**Definition.** The point \(O\) is the vertex of a peak directed into the exterior of \(\Omega\), if it has a neighborhood \(U\) such that

\[
U \cap \Omega = \{ x = (y,z) : z \in (0,1), \ y/\varphi(z) \in \omega \}.
\]

For the simplicity of presentation we will additionally assume that \(\varphi'(z) \leq 1/2\) for almost all \(z \in (0,1)\). We also assume that \(\varnothing \subset \{y : |y| < 1\}\) and that \(U \cap \partial\Omega = \{O\} \cup \Gamma\) where

\[
\Gamma = \{ x = (y,z) : z \in (0,1), \ y/\varphi(z) \in \partial\omega \}.
\]  \(\tag{2.1}\)

We now introduce some notation. Below we write for brevity \(T(\Omega)\) instead of \(TW^1_2(\Omega)\) and \(T(\Omega)^*\) instead of \(TW^1_2(\Omega)^*\). If \(f \in T(\Omega)^*\) and \(\lambda\) is a Lipschitz continuous function on \(\partial\Omega\), we define

\[
\langle \lambda f, v \rangle = \langle f, \lambda v \rangle, \quad v \in T(\Omega).
\]

Let \(v\) be a function defined on \(\Gamma\). Then the mean value of \(v\) on the section of \(\Gamma\) by the hyperplane \(z = \text{const}\) is

\[
\bar{v}(z) = \frac{1}{|\gamma|} \int_{\gamma} v(\varphi(z)y,z)d\gamma(y), \quad \gamma = \partial\omega,
\]  \(\tag{2.2}\)

where \(|\gamma|\) is the area of \(\gamma\).

If \(f \in T(\Omega)^*\) and the support of \(v \in T(\Omega)\) lies in \(\Gamma\), we put

\[
\langle f, v \rangle = \langle f, \bar{v} \rangle.
\]
In what follows some special partition of unity for \( \partial \Omega \) will be important for us. To construct it, we define a sequence \( \{z_k\} \) by

\[
z_0 \in (0, 1), \quad z_{k+1} + \varphi(z_{k+1}) = z_k, \quad k = 0, 1, \ldots
\]

Clearly, \( \{z_k\} \) is decreasing and also

\[
z_k \to 0, \quad z_{k+1}^{-1} z_k \to 1, \quad \varphi(z_{k+1})^{-1} \varphi(z_k) \to 1.
\]

The number \( z_0 \) can be chosen so small that for \( z < 2 z_0 \)

\[
\varphi(z - 2 \varphi(z)) > 3 \varphi(z)/4 \quad \text{and} \quad \varphi(z) < z/4. \tag{2.3}
\]

Let \( \{\mu_k\}_{k \geq 1} \) be a smooth partition of unity for \((0, z_1)\) subordinate to the covering by intervals \( \Delta_k = (z_{k+1}, z_k) \), i.e. the set of functions \( \mu_k \in C_0^\infty(\Delta_k) \) such that

\[
0 \leq \mu_k \leq 1, \quad \sum_{k \geq 1} \mu_k(z) = 1, \quad z \in (0, z_1).
\]

This partition of unity can be constructed to satisfy

\[
\text{dist}(\text{supp} \mu_k, \mathbf{R}^1 \setminus \Delta_k) \geq \text{const} \cdot \varphi(z_k), \quad |\mu_k| \leq \text{const} \cdot \varphi(z_k)^{-1} \tag{2.4}
\]

with constants depending only on \( \varphi \).

We introduce another set of functions \( \{\lambda_k\}_{k \geq 1} \),

\[
0 \leq \lambda_k \leq 1, \quad \lambda_k \in C_0^\infty(\Delta_k), \quad \lambda_k|_{\text{supp} \mu_k} = 1.
\]

Then \( \lambda_k \mu_k = \mu_k \) for all \( k \geq 1 \). Next we define \( \mu_0(z) = 0 \) for \( z < z_1 \) and \( \mu_0(z) = 1 - \mu_1(z) \) for \( z \geq z_1 \). It is clear that then \( \sum_{k \geq 0} \mu_k(z) = 1 \) for all \( z \in (0, 1) \). The partition of unity just constructed as well as the set \( \{\lambda_k\} \) depend only on \( \varphi \). In what follows we suppose that they are fixed.

Let

\[
\Gamma_k = \{(y, z) \in \Gamma : z \in \Delta_k\}, \quad \Delta_k = (z_{k+1}, z_k), \quad k = 1, 2, \ldots
\]

and

\[
\Gamma_0 = \partial \Omega \setminus \{x \in \Gamma : z \leq z_1\}.
\]

We note that the above partition of unity for \((0, 1)\) induces a partition of unity for \( \partial \Omega \setminus \{O\} \), subordinate to the covering \( \{\Gamma_k\}_{k \geq 0} \) if we put \( \mu_0 = 1 \) on \( \Gamma_0 \setminus \Gamma \).

Let \( f \in T(\Omega)^* \). If \( \langle f, v \rangle = 0 \) for all \( v \in T(\Omega) \) with \( v|_{\Gamma_k} = 0 \), we say that the support of \( f \) lies in \( \Gamma_k \) and write \( \text{supp} f \subset \Gamma_k \).

Turning to the study of the space \( T(\Omega)^* \), we first mention some known results concerning \( T(\Omega) \). If \( \Omega \) has an outer peak, \( T(\Omega) \) can be explicitly characterized as follows (see [3], [4], [5, 7.2]): this space consists of the functions on \( \partial \Omega \) with finite norm

\[
\left( \|v\|_{W_2^{1/2}(\Gamma_0)}^2 + \int_\Gamma v(x)^2 \varphi(z) ds_x + |v|^2_\Gamma \right)^{1/2}, \tag{2.5}
\]

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where \( \Gamma \) is given by (2.1),

\[
|v|_\Gamma = \left( \iint_{\{x, \xi \in \Gamma : |x-z|<M(z, \xi)\}} |v(x) - v(\xi)|^2 \frac{ds_x ds_\xi}{|x - \xi|^n} \right)^{1/2}, \tag{2.6}
\]

\( x = (y, z), \xi = (\eta, \zeta), M(z, \xi) = \max\{ \varphi(z), \varphi(\zeta) \} \), and \( ds_x, ds_\xi \) are the area elements in \( \Gamma \). Furthermore the norm in \( T(\Omega) \) is equivalent to that in (2.5).

The equivalence remains valid if \( \Gamma_0 \) in (2.5) is replaced by the surface \( \partial \Omega \setminus \{ x \in \Gamma : z \leq \delta \} \), \( \delta \in (0, 1) \), and the integral over \( \Gamma \) is omitted.

Positive quantities \( a, b \) are called equivalent or comparable (and denoted \( a \sim b \)) if \( c_1 \leq a/b \leq c_2 \) for some positive constants \( c_1, c_2 \), independent of \( a, b \).

**Lemma 1.** The following relation holds for \( v \in L_{2,\text{loc}}(0,1) \)

\[
\iint_{\{x, \xi \in \Gamma : |x-z|<M(z, \xi)\}} |v(z) - v(\xi)|^2 \frac{ds_x ds_\xi}{|x - \xi|^n} \sim \iint_{\{z, \xi \in (0,1) : |z-x|<M(z, \xi)\}} |v(z) - v(\xi)|^2 \frac{M(z, \xi)^{n-2}}{|z - \xi|^2} dz d\xi \tag{2.7}
\]

and the estimate

\[
|\bar{v}|_\Gamma \leq c(\Omega) \| v \|_{T(\Omega)} \tag{2.8}
\]

is true for \( v \in T(\Omega) \).

**Proof.** The left part of (2.7) is comparable to

\[
\int_0^1 dz \int 0 \rightarrow \gamma \rightarrow (z) |v(z) - v(\xi)|^2 (\varphi(z) \varphi(\xi))^{n-2} d\xi \iint_{\gamma \times \gamma} \frac{d\gamma(y) d\gamma(\eta)}{|z - \xi|^n + |\varphi(z)y - \varphi(\zeta)\eta|^n},
\]

and furthermore we have for \( y, \eta \in \gamma \)

\[
|z - \xi|^n + |\varphi(z)y - \varphi(\zeta)\eta|^n \sim |z - \xi|^n + \varphi(\zeta)^n |y - \eta|^n.
\]

Hence

\[
(\varphi(z) \varphi(\zeta))^{n-2} \iint_{\gamma \times \gamma} \frac{d\gamma(y) d\gamma(\eta)}{|z - \xi|^n + |\varphi(z)y - \varphi(\zeta)\eta|^n} \sim \frac{(\varphi(z) \varphi(\zeta))^{n-2}}{|z - \xi|^n} \int_{\gamma} d\gamma(y) \int_{\gamma} d\gamma(\eta) \frac{d\gamma(\eta)}{1 + \lambda^n |y - \eta|^n}, \tag{2.9}
\]

where \( \lambda = \varphi(\zeta)|z - \xi|^{-1} \). After the change of variable \( \eta = y + \lambda^{-1}t \) in the last integral over \( \gamma \) for fixed \( y \in \gamma \) the expression on the right in (2.9) takes the form

\[
\frac{\varphi(z)^{n-2}}{|z - \xi|^2} \int_{\gamma} d\gamma(y) \int_{S_\lambda} dS_\lambda(t) \frac{dS_\lambda(t)}{1 + |t|^n}.
\]
Here \( S_\lambda \) is the surface \( t : t/\lambda + y \in \gamma \), and \( dS_\lambda(t) \) the element of the \((n-2)\)-dimensional area. It remains to note that \( \varphi(z) \sim \varphi(\zeta) \) for \(|z - \zeta| < M(z, \zeta)\). Therefore, \( \lambda \geq \text{const} > 0 \), and the last integral is bounded above and below uniformly in \( \lambda \).

We now turn to (2.8). Using Hölder’s inequality, one obtains from (2.2) that

\[
|\bar{v}(z) - \bar{v}(\zeta)|^2 \leq c \int_\gamma |v(\varphi(z)y, z) - v(\varphi(\zeta)y, \zeta)|^2 d\gamma(y).
\]

The last estimate and (2.7) show that it will suffice to establish the inequality

\[
I_\gamma(v) \leq c \|v\|_{T(\Omega)}, \quad (2.10)
\]

where

\[
I_\gamma(v)^2 = \frac{1}{\omega} \int |\varphi(z)|^{n-2} dz \int_{z - \varphi(z)} |v(\varphi(z)y, z) - v(\varphi(\zeta)y, \zeta)|^2 d\gamma(y).
\]

Let \( v = u|_{\partial\Omega} \) for some \( u \in W^1_2(\Omega) \). We first establish the estimate

\[
I_\gamma(v) \leq c \|\nabla u\|_{L^2(\Omega \cap U)}. \quad (2.11)
\]

This estimate is known if \( \omega = \{y : |y| < 1\} \) (see Lemma 7.6/3 in [5] and Lemma 2.4 in [4]). When \( \omega \) is starshaped with respect to a ball centered at the origin, the proof of (2.11) almost verbatim repeats the argument for \( \omega = \{y : |y| < 1\} \). We omit it.

Let \( \omega \subset \{y \in \mathbb{R}^{n-1} : |y| < 1\} \) be starshaped with respect to a ball centered at \( y_0 \in \omega, y_0 \neq 0 \). Then the change of variable

\[
x = (y, z) \mapsto x' = (y', z') : z' = z, \quad y' = y - \varphi(z)y_0,
\]

transforms \( \Omega \cap U \) onto

\[
\Omega' = \{(y', z') : z' \in (0, 1), \ y'/\varphi(z') \in \omega - y_0\}
\]

where \( \omega - y_0 \) is a domain in \( \mathbb{R}^{n-1} \) starshaped with respect to a ball centered at the origin. Let \( \tilde{u} \) be defined on \( \Omega' \) by

\[
\Omega' \ni (y', z') \mapsto \tilde{u}(y', z') = u(y' + \varphi(z')y_0, z').
\]

Then \( \tilde{u} \in W^1_2(\Omega') \). Furthermore we have \( |\nabla_{x'} \tilde{u}| \sim |\nabla_x u| \) and \( dx' = dx \). Hence

\[
\|\nabla \tilde{u}\|_{L^2(\Omega')} \sim \|\nabla u\|_{L^2(\Omega \cap U)}.
\]

One can also observe that

\[
I_\gamma(v) = I_{\gamma-y_0}(\tilde{v}), \quad \tilde{v} = \tilde{u}|_{\partial \Omega'}.
\]

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According to what has been said above, we have

\[ I_\gamma(v) = I_{\gamma - y_0}(\tilde{v}) \leq c \|\nabla \tilde{u}\|_{L^2(\Omega')} \leq c \|\nabla u\|_{L^2(\Omega \cap U)}. \]

Finally, let \( \omega \) be the sum of a finite number of domains starshaped with respect to a ball: \( \omega = \bigcup_{i=1}^{N} \omega_i \). Here \( \gamma = \partial \omega \subset \bigcup_{i=1}^{N} \gamma_i \), \( \gamma_i = \partial \omega_i \) and therefore

\[ I_\gamma(v)^2 \leq \sum_{i=1}^{N} I_{\gamma_i}(v)^2 \leq c \|\nabla u\|_{L^2(\Omega \cap U)}. \]

It remains to note that any domain \( \omega \in C^{0,1} \) can be represented as the sum of a finite number of domains starshaped with respect to a ball [2]. So estimate (2.11) follows. To obtain (2.10) for \( v \in T(\Omega) \), one should extend given \( v \) with finite norm \( \|v\|_{T(\Omega)} \) inside \( \Omega \) in such a way that for extended function \( u \) we have

\[ \|u\|_{W^{1,2}(\Omega)} \leq c \|v\|_{T(\Omega)} \]

(see [4], [5, 7.2]). Then (2.10) follows from (2.11) which concludes the proof of the lemma.

2. Let \( v \in T(\Omega) \), \( v(x) = 0 \) for \( x \in \Gamma \), \( z > z_0 \). Then \( |v|_\Gamma \) is equivalent to the seminorm

\[ \left( \iint_{\{x, \xi \in \Gamma: |\xi - z| < 2 M(z, \zeta)\}} \left| v(x) - v(\xi) \right|^2 \frac{ds_x ds_\xi}{|x - \xi|^n} \right)^{1/2}, \]

with the same notation as in (2.6).

**Proof.** It suffices to establish the estimate

\[ \iint_{S} |v(x) - v(\xi)|^2 \frac{ds_x ds_\xi}{|x - \xi|^n} \leq c |v|^2, \quad (2.12) \]

where the integration on the left is over the set

\[ S = \{(x, \xi) \in \Gamma \times \Gamma : \varphi(z) < z - \zeta < 2 \varphi(z)\}. \]

Since \( v(x) = 0 \) for \( z > z_0 \), it follows that the integrand in (2.12) is not zero only if \( z - 2 \varphi(z) < z_0 \). A nondecreasing function \( z \mapsto z - 2 \varphi(z) \) takes the value not less than \( z_0 \) for \( z = 2 z_0 \) because of (2.3). Hence \( z - 2 \varphi(z) < z_0 \) only for \( z < 2 z_0 \). We also observe that \( |x - \xi| \sim \varphi(z) \) for \( (x, \xi) \in S \). We establish (2.12) by so called fictitious integration. Put

\[ x' = (y', z') \in \Gamma : z' \in \delta_1(z) = (z - \varphi(z), z - 3 \varphi(z)/4), \]

\[ \xi' = (\eta', \zeta') \in \Gamma : \zeta' \in \delta_2(z) = (z - 3 \varphi(z)/2, z - 5 \varphi(z)/4), \]

Then

\[ 0 < z - z' < \varphi(z) = M(z, \zeta). \quad (2.13) \]
In view of (2.3) we have

\[ 0 < z' - \zeta' < 3\varphi(z)/4 < \varphi(z - 3\varphi(z)/2) < \varphi(z') = M(z', \zeta') \]  

(2.14)

and

\[ |\zeta' - \zeta| \leq 3\varphi(z)/4 < \varphi(\zeta') \leq M(\zeta, \zeta'). \]  

(2.15)

By integrating the inequality

\[ c |v(x) - v(\xi)|^2 \leq |v(x) - v(x')|^2 + |v(x') - v(\xi')|^2 + |v(\xi') - v(\xi)|^2 \]

with respect to \(x', \xi'\) and by using that any of the quantities

\[ |x - x'|, |x' - \xi'|, |\xi' - \xi| \]

does not exceed \(c |x - \xi|\) (which is comparable to \(\varphi(z)\)), we obtain

\[
\frac{c}{|x - \xi|^n} \leq \frac{1}{\varphi(z)^{n-1}} \int_{\{x' \in \Gamma : \zeta' \in \delta_1(z)\}} |v(x') - v(x)|^2 \frac{ds_{x'}}{|x' - x|^n} + \\
+ \frac{1}{\varphi(z)^{2(u-1)}} \int_{\{x', \xi' \in \Gamma : \zeta' \in \delta_1(z), \xi' \in \delta_2(z)\}} |v(x') - v(\xi')|^2 \frac{ds_{x'}ds_{\xi'}}{|x' - \xi'|^n} + \\
+ \frac{1}{\varphi(z)^{n-1}} \int_{\{\xi' \in \Gamma : \xi' \in \delta_2(z)\}} |v(\xi') - v(\xi)|^2 \frac{ds_{\xi'}}{|\xi' - \xi|^n}.
\]

In view of (2.13) - (2.15) integration of the last inequality over \(S\) combined with Fubini's theorem gives (2.12). The proof of the lemma is concluded.

**Remark 1.** It follows from (2.5) that the linear map \(T(\Omega) \ni v \mapsto \psi v \in T(\Omega)\) is continuous if \(\psi\) is Lipschitz continuous on \(\partial \Omega\). \(^1\)

In what follows we need a version of the “Poincaré inequality” for functions defined on surfaces. Let \(\sigma\) be a measurable subset of the boundary of a domain of class \(C^{1,1}\) with positive area \(|\sigma|\).

**Lemma 3.** If \(v \in L_2(\sigma)\), then

\[ \|v - \tilde{v}\|_{L_2(\sigma)}^2 \leq (\text{diam } \sigma)^n|\sigma|^{-1}[v]_{\sigma}^2, \]

\(^1\)We arrive at the same conclusion for an arbitrary domain if we use the following fact: a function, which is Lipschitz continuous on \(\partial \Omega\), can be extended to a Lipschitz continuous function on \(\mathbb{R}^n\) with the same Lipschitz constant and the same maximum of the modulus (see Stein [8], Chap. VI, § 2). Then

\[
\|v\|_{L^2(\Omega)} = \inf\{\|u\|_{L^2(\Omega)} : u|_{\partial \Omega} = \psi v\} \leq \inf\{\|\psi u\|_{L^2(\Omega)} : u|_{\partial \Omega} = v\} 
\]

\[ \leq c(\psi) \inf\{\|u\|_{L^2(\Omega)} : u|_{\partial \Omega} = v\} = c(\psi)\|v\|_{L^2(\Omega)}. \]
where \( \tilde{v} \) is the mean value of \( v \) on \( \sigma \):

\[
\tilde{v} = |\sigma|^{-1} \int_{\sigma} v(x) \, ds_x
\]

and \([\cdot]_\sigma\) is the seminorm defined in (1.1).

This assertion easily follows by Hölder’s inequality.

3. Space \( TW^1_2(\Omega)^* \) for a domain with outer peak

The theorem stated below gives a description of the space \( T(\Omega)^* \) dual of \( T(\Omega) \) for a domain with outer peak. To state the theorem, we need a new space of functions defined on the interval \((0, 1)\) of real axis. Let \( W^{1/2}_2(0, 1) \) be the space of functions in \( L^2_{\text{loc}}(0, 1) \) having finite norm

\[
\|u\|_{W^{1/2}_2(0, 1)} = \left( \int_0^1 u(z)^2 \varphi(z)^{n-1} \, dz + \int \int_{\{z, \zeta \in (0, 1); |\zeta - z| < M(z, \zeta)\}} |u(z) - u(\zeta)|^2 \frac{M(z, \zeta)^{n-2}}{|z - \zeta|^2} \, dz \, d\zeta \right)^{1/2},
\]

where, as before, \( M(z, \zeta) = \max\{\varphi(z), \varphi(\zeta)\} \).

**Theorem 1.** Let \( \Omega \subset \mathbb{R}^n \) be a domain with outer peak and \( \{\mu_k\}_{k \geq 0} \) a partition of unity for \( \partial \Omega \setminus \{O\} \) constructed above.

(i) If \( f \in T(\Omega)^* \) then \( f \) can be represented as the sum of three summands

\[
f = \mu_0 f + (1 - \mu_0) \bar{f} + (1 - \mu_0)(f - \bar{f}) = f^{(1)} + f^{(2)} + f^{(3)}
\]

in \( T(\Omega)^* \) with the following properties. The support of \( f^{(1)} \) lies in \( \Gamma_0 \) and \( f^{(1)} \in W^{-1/2}_2(\Gamma_0) \). The term \( f^{(2)} \) is defined by

\[
T(\Omega) \ni v \mapsto \langle f^{(2)}, v \rangle = \langle f, (1 - \mu_0) \bar{v} \rangle,
\]

has support in \( \{x \in \Gamma : z \leq z_0\} \) and belongs to \( \overline{W^{1/2}_2(0, 1)}^* \) in the sense that

\[
|\langle f^{(2)}, v \rangle| \leq \text{const} \cdot \|\bar{v}\|_{W^{1/2}_2(0, 1)}.
\]  

(3.1)

The support of \( f^{(3)} \) lies in \( \{x \in \Gamma : z \leq z_0\} \), the following decomposition holds

\[
\langle f^{(3)}, v \rangle = \sum_{k \geq 1} \langle \mu_k (f - \bar{f}), v \rangle, \quad v \in T(\Omega),
\]

and the estimate

\[
\left( \sum_{k \geq 1} \|\mu_k (f - \bar{f})\|_{W^{-1/2}_2(\Gamma_k)}^2 \right)^{1/2} \leq c \| (1 - \mu_0)(f - \bar{f}) \|_{T(\Omega)^*}.
\]  

(3.2)

is valid with constant depending only on \( \Omega \).
(ii) Let $f_k \in W_2^{-1/2}(\Gamma_k)$ for $k \geq 1$. Suppose that $\text{supp} f_k \subset \Gamma_k$, $\langle f_k, v \rangle = 0$ if $v \in W_2^{1/2}(\Gamma_k)$, $v(y, z)$ depends only on $z$, and

$$\sum_{k \geq 1} \|\lambda_k f_k\|_{W_2^{-1/2}(\Gamma_k)}^2 < \infty. \quad (3.3)$$

Define

$$T(\Omega) \ni v \mapsto \langle f^{(3)}, v \rangle = \sum_{k \geq 1} \langle \lambda_k f_k, v \rangle. \quad (3.4)$$

Then $f^{(3)}$ belongs to $T(\Omega)^*$, its support lies in \{ $x \in \Gamma : z \leq z_0$ \} and the estimate holds

$$\|f^{(3)}\|_{T(\Omega)^*} \leq c \left( \sum_{k \geq 1} \|\lambda_k f_k\|_{W_2^{-1/2}(\Gamma_k)}^2 \right)^{1/2} \quad (3.5)$$

with constant depending only on $\Omega$. Furthermore, let $h \in W_2^{-1/2}(\Gamma_0)$ and $g \in W_2^{1/2}(0, 1)^*$. Define $f^{(1)} = \mu_0 h$,

$$\langle f^{(2)}, v \rangle = \langle g, (1 - \mu_0)\bar{v} \rangle, \quad v \in T(\Omega). \quad (3.6)$$

Then $f^{(1)}$, $f^{(2)} \in T(\Omega)^*$ and moreover $f^{(1)} \in W_2^{-1/2}(\Gamma_0)$.

**Proof.** (i) Inclusion $f^{(1)} = \mu_0 f \in T(\Omega)^*$ follows from Remark 1. Next, we have

$$|\langle f^{(1)}, v \rangle| = |\langle f, \mu_0 v \rangle| \leq c \|f\|_{T(\Omega)^*} \|\mu_0 v\|_{W_2^{1/2}(\Gamma_0)}$$

because $\|v\|_{T(\Omega)^*} \sim \|v\|_{W_2^{1/2}(\Gamma_0)}$ for functions supported in $\Gamma_0$. Gagliardo’s theorem and Remark 1 also imply that

$$\|\mu_0 v\|_{W_2^{1/2}(\Gamma_0)} \leq c \|v\|_{W_2^{1/2}(\Gamma_0)^*}.$$ 

Thus $f^{(1)} \in W_2^{-1/2}(\Gamma_0)$.

Consider the term $f^{(2)}$. Here

$$|\langle f^{(2)}, v \rangle| = |\langle f, (1 - \mu_0)\bar{v} \rangle|, \quad (3.7)$$

where $\bar{v} = \bar{v}(z)$ is the mean value of $v|_{\Gamma}$, defined in (1.2). By using the continuity of $f$ and the equivalence $\|v\|_{T(\Omega)} \sim |v|_{\Gamma}$ for $v$ supported in \{ $x \in \Gamma : z \leq z_0$ \}, we dominate quantity (3.7) by expression $c(f)|v|_{\Gamma} \bar{v}$ (recall that seminorm $|\cdot|_{\Gamma}$ is defined in (2.6)). An application of Lemma 1 gives the following majorant for the square of quantity (3.7)

$$c \iint_S |(1 - \mu_0(z))\bar{v}(z) - (1 - \mu_0(\zeta))\bar{v}(\zeta)|^2 M(z, \zeta)^{n-2} \frac{dzd\zeta}{|z - \zeta|^2}, \quad (3.8)$$

where $S = \{(z, \zeta) \in (0, 1) \times (0, 1) : |z - \zeta| < M(z, \zeta)\}$. We dominate expression (3.8) by the sum

$$c \iint_S \frac{|\bar{v}(z) - \bar{v}(\zeta)|^2}{|z - \zeta|^2} M(z, \zeta)^{n-2} dzd\zeta +$$
\[ + c \int_1^c \frac{|\mu_0(z) - \mu_0(\zeta)|^2}{|z - \zeta|^2} \psi(z)^2 M(z, \zeta)^{n-2} dz d\zeta. \]

The last term does not exceed
\[ c \int_0^1 \psi(z)^2 \varphi(z)^{n-1} dz, \]
which is not greater than \( c \int_T v(x)^2 \varphi(z) ds_x \), and we arrive at (3.1) with constant independent of \( v \). It remains to observe that the right part of (3.1) does not exceed \( c \| v \|_{T(\Omega)} \) in view of Lemma 1. Hence \( f^{(2)} \in T(\Omega)^* \).

We now consider \( f^{(3)} \). The inclusion \( f^{(3)} \in T(\Omega)^* \) is a consequence of inclusions \( f^{(1)}, f^{(2)} \in T(\Omega)^* \). To check (3.2), we choose an element \( v_k \in W^{1/2}_2(\Gamma_k), k = 1, 2, \ldots \), such that \( \|v_k\|_{W^{1/2}_2(\Gamma_k)} \leq 1 \) and
\[ \|\mu_k(f - \tilde{f})\|_{W^{-1/2}_2(\Gamma_k)} \leq 2 \langle \mu_k(f - \tilde{f}), v_k \rangle. \]

Put \( \alpha_k = \|\mu_k(f - \tilde{f})\|_{W^{-1/2}_2(\Gamma_k)} \) and fix any integer \( N \geq 1 \). Then
\[ \sum_{k=1}^N \alpha_k^2 \leq 2 \sum_{k=1}^N \alpha_k \langle \mu_k(f - \tilde{f}), v_k \rangle = 2 \langle f - \tilde{f}, \sum_{k=1}^N \alpha_k \mu_k(v_k - \hat{v}_k) \rangle, \tag{3.9} \]
where \( \hat{v}_k \) is the mean value of \( v_k \) on surface \( \Gamma_k \). Clearly \( \mu_k = (1 - \mu_0)\mu_k \) for \( k \geq 2 \). We also have \( \mu_1 = (1 - \mu_0)\mu_1 \) on \( [z_2, z_1] \) and \( \mu_1 = 1 - \mu_0 \) on \( [z_1, z_0] \). Thus \( \mu_1 \) can be represented by the product \( (1 - \mu_0)\nu_1 \) where
\[ \nu_1(z) = \begin{cases} \mu_1(z), & z \in [z_2, z_1], \\ \lambda_1(z), & z \in [z_1, z_0]. \end{cases} \]

We recall that \( \lambda_k \in C_0^\infty(\Delta_k) \) is a set of functions for which \( \mu_k \lambda_k = \mu_k, k = 1, 2, \ldots \) Hence \( \nu_1 \in C_0^\infty(z_2, z_0), 0 \leq \nu_1 \leq 1 \). Letting \( \nu_k = \mu_k \) for \( k = 2, 3, \ldots \), we rewrite inequality (3.9) in the form
\[ \sum_{k=1}^N \alpha_k^2 \leq 2 \langle (1 - \mu_0)(f - \tilde{f}), \sum_{k=1}^N \alpha_k \nu_k(v_k - \hat{v}_k) \rangle. \tag{3.10} \]

Assuming that \( \nu_k(z)v_k(x) = 0 \) outside \( \Gamma_k \), define function \( v \) on \( \Gamma \) by
\[ v(x) = \sum_{k=1}^N \alpha_k v_k(z) w_k(x), \quad w_k(x) = v_k(x) - \hat{v}_k. \]

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We now bound \( \|v\|_{T(\Omega)} \). Since \( \text{supp} \, v \subset \{ x \in \Gamma : z \leq z_0 \} \), it will suffice to bound \( |v|_{\Gamma} \). Let \( x, \xi \in \Gamma \). Clearly
\[
v(x) - v(\xi) = \sum_{k=1}^{N} \alpha_k \left( \nu_k(z)w_k(x) - \nu_k(\zeta)w_k(\xi) \right),
\]
and the last sum contains at most four nonzero summands. Therefore,
\[
|v(x) - v(\xi)|^2 \leq 4 \sum_{k=1}^{N} \alpha_k^2 \left( \nu_k(z)w_k(x) - \nu_k(\zeta)w_k(\xi) \right)^2,
\]
hence
\[
|v|^2_{\Gamma} \leq 4 \sum_{k=1}^{N} \alpha_k^2 |\nu_k w_k|^2. \tag{3.11}
\]
Note that the function
\[
\Gamma \times \Gamma \ni (x, \xi) \mapsto \nu_k(z)w_k(x) - \nu_k(\zeta)w_k(\xi)
\]
is zero if \( x \notin \Gamma_k \) and \( \xi \notin \Gamma_k \), so that
\[
|\nu_k w_k|^2_{\Gamma} \leq [\nu_k w_k]_{\Gamma_k}^2 +
+ 2 \int_{\Gamma_k} |\nu_k(z)w_k(x)|^2 ds_x \int_{\{\xi \in \Gamma : |z - \xi| < M(z, \zeta)\}} |x - \xi|^{-n} |\nu_k(\xi)|^{-2} ds_\xi, \tag{3.12}
\]
where \([\cdot]_{\Gamma_k} \) is the seminorm defined in (1.1). Next, for \( z \in \text{supp} \, \nu_k, \, \zeta \notin \Delta_k \) (2.4) implies that \( |z - \zeta| \geq c \phi(z_k) \), hence we have \( |x - \xi| \sim \phi(z_k) \) in the last integral. Thus the second term on the right of (3.12) does not exceed
\[
c \phi(z_k)^{-1} \int_{\Gamma_k} |v_k(x) - \dot{v}_k|^2 ds_x \tag{3.13}
\]
with constant independent of \( k \) and \( v_k \). Finally, by Lemma 3 expression (3.13) is not greater than \( c [v_k]_{\Gamma_k}^2 \).

To bound \( [\nu_k w_k]_{\Gamma_k} \), we first use the inequality
\[
[\nu_k w_k]_{\Gamma_k}^2 \leq c [v_k]_{\Gamma_k}^2 + c \int_{\Gamma_k} |v_k(x) - \dot{v}_k|^2 ds_x \int_{\Gamma_k} \frac{|\nu_k(z) - \nu_k(\zeta)|^2}{|x - \xi|^{n-1}} ds_\xi. \tag{3.14}
\]
According to (2.4), one has
\[
|\nu_k(z) - \nu_k(\zeta)| \leq c \phi(z_k)^{-1} |z - \zeta|,
\]
therefore the last integral over \( \Gamma_k \) is dominated by
\[
c \phi(z_k)^{-2} \int_{\Gamma_k} |x - \xi|^{2-n} ds_\xi \leq c \phi(z_k)^{-1}. \]
Then, by Lemma 3 the second term on the right of (3.14) is majorized by 
\[ c \|v_k\|^2_{\Gamma_k}. \]
Thus we established that
\[ |\mu_k w_k| \leq c \|v_k\|_{\Gamma_k} \]
with constant independent of \( k \) and \( v_k \). Since \( \|v_k\|_{W^{1/2}(\Gamma_k)} \leq 1 \), it follows from the last estimate and (3.11) that
\[ |v|^2 \leq c \sum_{k=1}^{N} \alpha_k^2. \]
So the expression on the right of (3.10) does not exceed
\[ c \|(1 - \mu_0) (f - \bar{f})\|_{T(\Omega)^*} \left( \sum_{k=1}^{N} \alpha_k^2 \right)^{1/2}. \]
Now (3.10) gives
\[ \sum_{k=1}^{N} \alpha_k^2 \leq c \|(1 - \mu_0) (f - \bar{f})\|_{T(\Omega)^*} \left( \sum_{k=1}^{N} \alpha_k^2 \right)^{1/2}. \]
with constant independent of \( \alpha_k = \|\mu_k (f - \bar{f})\|_{W^{-1/2}(\Gamma_k)} \) and \( N \). Hence we obtain (3.2)

(ii) Let \( v \in T(\Omega) \). Then
\[ \sum_{k=1}^{N} \alpha_k^2 \leq c \|(1 - \mu_0) (f - \bar{f})\|_{T(\Omega)^*} \left( \sum_{k=1}^{N} \alpha_k^2 \right)^{1/2}. \]
with constant independent of \( \alpha_k = \|\mu_k (f - \bar{f})\|_{W^{-1/2}(\Gamma_k)} \) and \( N \). Hence we obtain (3.2)

\[ \sum_{k=1}^{N} \alpha_k^2 \leq c \|(1 - \mu_0) (f - \bar{f})\|_{T(\Omega)^*} \left( \sum_{k=1}^{N} \alpha_k^2 \right)^{1/2}. \]

where \( \bar{v}_k \) is the mean value of \( v \) on \( \Gamma_k \). Clearly
\[ \|\mu_i (v - \bar{v}_k)\|_{W^{1/2}(\Gamma_k)} \leq \|v - \bar{v}_k\|_{L^2(\Gamma_k)} + [\mu_i (v - \bar{v}_k)]_{\Gamma_k}. \]
We bound the first term on the right by Lemma 3:
\[ \|v - \bar{v}_k\|_{L^2(\Gamma_k)} \leq c \varphi(z_k)^{1/2}[v]_{\Gamma_k}. \]
Next, we have
\[ [\mu_i (v - \bar{v}_k)]_{\Gamma_k}^2 \leq c [v]_{\Gamma_k}^2 + \]
\[ + c \int_{\Gamma_k} |v(x) - \bar{v}_k|^2 \, ds \int_{\Gamma_k} \frac{|\mu_i(z) - \mu_i(\zeta)|^2}{|x - \xi|^n} \, ds. \]
Since \( |k - i| \leq 1 \), it follows that \( |\mu_i(z) - \mu_i(\zeta)| \leq c \varphi(z_k)^{-1} |z - \zeta| \), and the second term on the right part of (3.16) is dominated by the first term in the same way
as the second term on the right in (3.14) has been majorized by the first one. Thus we have shown that

$$\|\mu_i(v - \hat{v}_k)\|_{W_2^{1/2}(\Gamma_k)} \leq c[v]_{\Gamma_k}.$$  

Now (3.15) implies the estimate

$$\sum_{k \geq 1} |\langle \lambda_k f_k, v \rangle| \leq c \sum_{k \geq 1} \|\lambda_k f_k\|_{W_2^{-1/2}(\Gamma_k)}^2 [v]_{\Gamma_k} \leq$$

$$\leq c \left( \sum_{k \geq 1} \|\lambda_k f_k\|_{W_2^{-1/2}(\Gamma_k)}^2 \right)^{1/2} \left( \sum_{k \geq 1} [v]_{\Gamma_k}^2 \right)^{1/2}.$$  (3.17)

We observe that $|z - \zeta| < 2M(z, \zeta)$ for $x, \xi \in \Gamma_k$, whence

$$\sum_{k \geq 1} |v|^2_{\Gamma_k} \leq \iint_{\{x, \xi \in \Gamma; |x - z| < 2M(z, \zeta)\}} |v(x) - v(\xi)|^2 \frac{ds_x ds_\xi}{|x - \xi|^n}.$$  

In view of Lemma 2 the right part of the last inequality does not exceed

$$c \left[ (1 - \mu_0) v \right]_{T(\Omega)}^2 + c \|\mu_0 v\|_{W_2^{1/2}(\Gamma_0)}^2,$$

which is not greater than $c \|v\|_{T(\Omega)}^2$ by Remark 1. Now (3.17) implies that definition (3.4) is correct, that $f^{(3)}$ is continuous and (3.5) holds.

Inclusion $f^{(1)} \in W_2^{-1/2}(\Gamma_0)$ follows from Gagliardo’s theorem and Remark 1. Since $T(\Omega) \subset W_2^{1/2}(\Gamma_0)$, we also have $f^{(1)} \in T(\Omega)^\ast$.

The proof of continuity in $T(\Omega)$ of functional $f^{(2)}$, given by (3.6) for $g \in W_2^{1/2}(0, 1)^\ast$ is carried out in the same way as in assertion (i). The proof of the theorem is concluded.

We now state some consequences of the theorem.

**Corollary 1.** Let $\Omega$ be a domain with outer peak. If $f$ is a linear functional defined on $T(\Omega)$ and $f$ can be represented as the sum of three terms $f^{(1)}$, $f^{(2)}$, $f^{(3)}$ with properties described in assertion (ii) of the theorem, then the Neumann problem (1.2), (1.3) has a unique solution.

**Corollary 2.** Let $\Omega$ be a domain with outer peak and let $f$ be a linear functional defined on $T(\Omega)$. For $v \in T(\Omega)$, supp $v \subset \Gamma$, define

$$\langle \bar{f}, v \rangle = \langle f, \bar{v} \rangle.$$  

Then the functional $(1 - \mu_0)(f - \bar{f})$ is continuous on $T(\Omega)$ if and only if

$$\sum_{k \geq 1} \|\mu_k (f - \bar{f})\|_{W_2^{-1/2}(\Gamma_k)}^2 < \infty.$$  (3.18)

Furthermore, the following relation holds

$$\left( \sum_{k \geq 1} \|\mu_k (f - \bar{f})\|_{W_2^{-1/2}(\Gamma_k)}^2 \right)^{1/2} \sim \| (1 - \mu_0)(f - \bar{f}) \|_{T(\Omega)^\ast}.$$  

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with constants depending only on $\Omega$.

**Proof.** The lower bound for $\| (1 - \mu_0)(f - \bar{f}) \|_{T(\Omega)^*}$ was obtained in assertion (i) of the theorem. To establish the upper bound, consider functionals $f_k = \mu_k(f - \bar{f})$ satisfying (3.18) for $k = 1, 2, \ldots$. It is clear that $\text{supp} f_k \subset \Gamma_k$ and that $(f_k, v) = 0$ if $v \in W^{1/2}_2(\Gamma_k)$, $v(x)$ depends only on $z$. We have $\lambda_k f_k = \mu_k(f - \bar{f}) = f_k$, for each $k \geq 1$, and assertion (ii) of the theorem gives

$$\| \sum_{k \geq 1} f_k \|_{T(\Omega)^*} \leq c \left( \sum_{k \geq 1} \| f_k \|_{W^{-1/2}_2(\Gamma_k)}^2 \right)^{1/2},$$

thus concluding the proof.

The preceding assertion enables us to prove the continuity of the linear map $T(\Omega) \ni v \mapsto v - \bar{v} \in L_q(\Gamma)$ with maximal Sobolev exponent $q$ and to establish the inclusion $f - \bar{f} \in T(\Omega)^*$ for $f \in L_{q'}(\partial \Omega)$ with minimal possible exponent $q' = q/(q - 1)$.

**Corollary 3.** Let $\Omega$ be a domain with outer peak, let $q = 2(n - 1)/(n - 2)$ and $q' = q/(q - 1)$. If $f \in L_{q'}(\partial \Omega)$, then the functional

$$T(\Omega) \ni v \mapsto \langle (1 - \mu_0)(f - \bar{f}), v \rangle = \int_{\Gamma} f(x)(v(x) - \bar{v}(z))(1 - \mu_0(z))ds_z$$

is in $T(\Omega)^*$ and the estimate

$$\| (1 - \mu_0)(f - \bar{f}) \|_{T(\Omega)^*} \leq c \| f - \bar{f} \|_{L_q(\Gamma)}$$

is valid with constant independent of $f$. Furthermore for all $v \in T(\Omega)$ we have

$$\| v - \bar{v} \|_{L_{q}(\Gamma)} \leq c \| v \|_{T(\Omega)}$$

with constant independent of $v$.

**Proof.** According to Corollary 2 we should bound the sum on the left of (3.18). Let $v \in W^{1/2}_2(\Gamma_k)$. An application of Hölder’s inequality gives

$$| \langle \mu_k(f - \bar{f}), v \rangle | = \left| \int_{\Gamma_k} \mu_k(f - \bar{f})(v - \hat{v}_k)ds_z \right| \leq$$

$$\leq \| f - \bar{f} \|_{L_q(\Gamma_k)} \| \mu_k(v - \hat{v}_k) \|_{L_q(\Gamma_k)},$$

where, as above, $\hat{v}_k$ denotes the mean value of $v$ on $\Gamma_k$. Since

$$\Omega_k = \{(y, z) : z \in (z_{k+1}, z_{k-1}), \ y/\varphi(z) \in \omega\}$$

is a domain of class $C^{0,1}$, Sobolev’s theorem applies. Hence for any $u \in W^{1/2}_2(\partial \Omega_k)$ the following estimate holds

$$\| u \|_{L_q(\partial \Omega_k)} \leq c \varphi(z_k)^{n-1} \| u \|_{L_2(\partial \Omega_k)} +$$
Therefore, the last term in (3.23) does not exceed \(c \varphi(z_k)^{1 + \frac{n-1}{2} - \frac{q}{2}} [u]_{\partial \Omega_k} = c \varphi(z_k)^{-1/2} \|u\|_{L_2(\partial \Omega_k)} + c [u]_{\partial \Omega_k}. \) Here \([\cdot]_{\partial \Omega_k}\) is the seminorm defined in (1.1). By inserting \(u = \mu_k(v - \hat{v}_k)\) into the last inequality (we assume that \(u = 0\) on \(\partial \Omega_k \setminus \Gamma_k\)) and by using Lemma 3 to bound the first term on the right, we obtain

\[
\|\mu_k(v - \hat{v}_k)\|_{L_q(\Gamma_k)} \leq c \|v\|_{\Gamma_k} + c \|\mu_k(v - \hat{v}_k)\|_{\partial \Omega_k}. \tag{3.22}
\]

The last term will be estimated in the following way. First note that

\[
[\mu_k(v - \hat{v}_k)]_{\partial \Omega_k}^2 = [\mu_k(v - \hat{v}_k)]_{\Gamma_k}^2 + 2\int_{\Gamma_k} |\mu_k(z)(v(x) - \hat{v}_k)|^2 dx \int_{\partial \Omega_k \setminus \Gamma_k} |x - \xi|^{-n} ds_{\xi}. \tag{3.23}
\]

If \(x = (y, z) \in \Gamma_k, z \in \text{supp} \mu_k, \) and \(\xi \notin \Gamma_k,\) then \(|x - \xi| \geq c \varphi(z_k)\) in view of (2.4). Therefore, the last term in (3.23) does not exceed

\[
c \varphi(z_k)^{-1} \int_{\Gamma_k} |v(x) - \hat{v}_k|^2 ds_x,
\]

which is not greater than \(c \|v\|_{\Gamma_k}^2\) by Lemma 3. Then we dominate the quantity \([\mu_k(v - \hat{v}_k)]_{\Gamma_k}^2\) by the right part of inequality (3.14) where \(v_k(x)\) should be replaced by \(v(x)\) and \(\nu_k\) should be replaced by \(\mu_k\). The same argument as in Theorem 1 gives

\[
[\mu_k(v - \hat{v}_k)]_{\Gamma_k} \leq c [v]_{\Gamma_k}.
\]

Inequalities (3.22), (3.23) combined with last estimates show that

\[
\|\mu_k(v - \hat{v}_k)\|_{L_q(\Gamma_k)} \leq c \|v\|_{\Gamma_k}.
\]

Hence and from (3.21) we obtain

\[
\|\mu_k(f - \bar{f})\|_{W_2^{-1,2}(\Gamma_k)} \leq c \|f - \bar{f}\|_{L_q'(\Gamma_k)}.
\]

Thus

\[
\left(\sum_{k \geq 1} \|\mu_k(f - \bar{f})\|_{W_2^{-1/2}(\Gamma_k)}^2\right)^{1/2} \leq c \left(\sum_{k \geq 1} \|f - \bar{f}\|_{L_q'(\Gamma_k)}^2\right)^{1/2} . \tag{3.24}
\]

By applying an algebraic inequality

\[
\left(\sum_{k \geq 1} a_k^2\right)^{1/2} \leq \left(\sum_{k \geq 1} a_k^{q'}\right)^{1/q'}, \quad a_k \geq 0, \quad 0 < q' < 2,
\]

we majorize the left part of (3.24) by expression \(c \|f - \bar{f}\|_{L_q'(\Gamma)}\). Now (3.19) follows from Corollary 2.
Turning to (3.20), we note that
\[ \langle f, (1 - \mu_0)(v - \bar{v}) \rangle = \langle (1 - \mu_0)(f - \bar{f}), v \rangle \]
and that \( \|\bar{f}\|_{L_q'(\Gamma)} \leq c \|f\|_{L_q'(\Gamma)} \). So (3.19) implies
\[ \|\bar{f}\|_{L_q'(\Gamma)} \leq c \|f\|_{L_q'(\Gamma)} \|v\|_{T(\Omega)} \] (3.25)
for all \( f \in L_q'(\Gamma) \) and \( v \in T(\Omega) \). Let \( V \) denote the unit ball in \( T(\Omega) \). For every \( v \in V \) and \( f \in L_q'(\Gamma) \) define
\[ F_v(f) = \langle f, (1 - \mu_0)(v - \bar{v}) \rangle = \int_{\Gamma} f(x)(1 - \mu_0(z))(v(x) - \bar{v}(z)) ds_x. \]
In view of (3.25) functionals \( L_q'(\Gamma) \ni v \mapsto F_v(f) \) are continuous and pointwise bounded for \( v \in V \). Hence their norms are uniformly bounded which means that \( \|(1 - \mu_0)(v - \bar{v})\|_{L_q(\Gamma)} \leq \text{const} \) for \( v \in V \). Thus
\[ \|(1 - \mu_0)(v - \bar{v})\|_{L_q(\Gamma)} \leq \text{const} \cdot \|v\|_{T(\Omega)} \]
for all \( v \in T(\Omega) \). To conclude the proof of (3.20), we show that
\[ \|\mu_0(v - \bar{v})\|_{L_q(\Gamma)} \leq \text{const} \cdot \|v\|_{T(\Omega)}. \]
By definition (2.2) and Hölder’s inequality we obtain
\[ |\bar{v}(z)|^q \varphi(z)^{n-2} |\gamma| \leq \int_{\gamma(y,z)} |v(y, z)|^q d\gamma(y). \]
Integration over \( z \in (z_1, 1) \) gives
\[ \|\mu_0\bar{v}\|_{L_q(\Gamma \cap \Gamma_0)}^q \leq c \|\mu_0v\|_{L_q(\Gamma \cap \Gamma_0)}^q. \]
It remains to observe that by Sobolev’s theorem the last norm does not exceed \( c \|\mu_0v\|_{W^{1/2}_q(\Gamma_0)} \) which is not greater than \( \|v\|_{T(\Omega)} \) according to Remark 1. The result follows.

A combination of Theorem 1 with Corollary 3 enables us to state the following proposition.

**Proposition.** Let \( \Omega \) be a domain with outer peak and \( 1 \leq q \leq 2(n-1)/(n-2), \)
\( q^{-1} + q' = 1 \). The following assertions are equivalent.
(A) The Neumann problem (1.2), (1.3) is uniquely solvable for all \( f \in L_q'(\partial\Omega) \).
(B) For all \( f \in L_q'(\partial\Omega) \) the functional
\[ T(\Omega) \ni v \mapsto \int_{\partial\Omega} f v ds_x \]
is continuous.
(C) The space $T(\Omega)$ is continuously imbedded in $L_q(\partial \Omega)$.

(D) The map $T(\Omega) \ni v \mapsto \bar{v} \in L_q(\Gamma)$ is continuous.

(E) $W^{1/2}(0,1)$ is continuously imbedded in weighted $L_q$ with norm

\[ u \mapsto \left( \int_0^1 |u(z)|^q \varphi(z)^{n-2} dz \right)^{1/q}. \]

**Proof.** (A) $\rightarrow$ (B). Let $E : T(\Omega) \rightarrow W^{1/2}_0(\Omega)$ be a linear continuous extension operator. For any given $f \in L_q'(\partial \Omega)$ let $u$ denote the solution of the problem (1.2), (1.3). Because (1.4) holds, we have

\[ \int_{\partial \Omega} fv ds_x = [u, Ev] \quad \text{for all } v \in T(\Omega), \]

so that

\[ \left| \int_{\partial \Omega} fv ds_x \right| \leq c \|u\|_{W^{1/2}_0(\Omega)} \|Ev\|_{W^{1/2}_0(\Omega)} \leq c \|u\|_{W^{1/2}_0(\Omega)} \|v\|_{T(\Omega)}, \]

and the result follows.

(B) $\rightarrow$ (C). Using the well known fact that bounded functions are dense in $W^{1/2}_0(\Omega)$, one can easily obtain that bounded functions are also dense in $T(\Omega)$. Let

\[ V = \{ v \in T(\Omega) \cap L_\infty(\partial \Omega) : \|v\|_{T(\Omega)} \leq 1 \}. \]

For every $v \in V$ consider a functional

\[ L_q'(\partial \Omega) \ni f \mapsto F_v(f) = \int_{\partial \Omega} fv ds_x. \]

Clearly $F_v$ is continuous on $L_q'(\partial \Omega)$ and by assumption $|F_v(f)| \leq c(f) \|v\|_{T(\Omega)}$. Thus, $\{F_v\}_{v \in V}$ are pointwise bounded. Hence $\|F_v\| = \|v\|_{L_q(\partial \Omega)} \leq \text{const}$ for all $v \in V$. This means that

\[ \|v\|_{L_q(\partial \Omega)} \leq \text{const} \cdot \|v\|_{T(\Omega)} \]

for all $v \in T(\Omega) \cap L_\infty(\partial \Omega)$. Thus $T(\Omega)$ is continuously imbedded in $L_q(\partial \Omega)$.

(C) $\rightarrow$ (A). Let $f \in L_q'(\partial \Omega)$ and $v \in W^{1/2}_0(\Omega)$. Then by Hölder’s inequality

\[ \left| \int_{\partial \Omega} fv ds_x \right| \leq \|f\|_{L_q'(\partial \Omega)} \|v\|_{L_q(\partial \Omega)}. \]

By assumption the last norm does not exceed $c \|v\|_{T(\Omega)}$ which is not greater than $c \|v\|_{W^{1/2}_0(\Omega)}$. So the functional on the right in (1.4) is continuous with respect to $v \in W^{1/2}_0(\Omega)$. Hence the Neumann problem is solvable.

The equivalence of (C) and (D) follows from Corollary 3.
(D) → (E). Let $u \in W^{1/2}_{2}(0, 1)$. Suppose that $\lambda \in C^{\infty}(0, 1)$ and

$$0 \leq \lambda \leq 1, \quad \lambda |_{(0, z_2)} = 1, \quad \lambda |_{(z_1, 1)} = 0.$$ 

We define $v \in T(\Omega)$ by $v(x) = \lambda(z)u(z)$ for $x \in \Gamma$, and $v = 0$ on $\partial \Omega \setminus \Gamma$. Then, because $v|_{\Gamma_0} = 0$, and in view of Lemma 1, inequality $\|\vec{v}\|_{L^q(\Gamma)} \leq c \|v\|_{T(\Omega)}$ transforms to

$$\left( \int_0^1 |\lambda(z)u(z)|^q \varphi(z)^{n-2} dz \right)^{1/q} \leq c \|\lambda u\|_{W^{1/2}_{2}(0, 1)}.$$ 

The same reasoning as in the proof of inequality (3.1) in Theorem 1 shows that the right part of the last inequality does not exceed $c(\lambda) \|u\|_{W^{1/2}_{2}(z_2, 1)}$. Thus we have

$$\|\lambda u\|_{L^q(\Gamma)} \leq c \|u\|_{W^{1/2}_{2}(z_2, 1)}.$$ 

(3.26)

On the other hand

$$\|(1 - \lambda)u\|_{L^q(\Gamma)} \leq c \left( \int_{z_2}^1 |u(z)|^q dz \right)^{1/q}.$$ 

Since $W^{1/2}_{2}(z_2, 1)$ is continuously imbedded in $L^q(z_2, 1)$, it follows that

$$\left( \int_0^1 |(1 - \lambda(z))u(z)|^q \varphi(z)^{n-2} dz \right)^{1/q} \leq c \|u\|_{W^{1/2}_{2}(z_2, 1)} \leq c \|u\|_{W^{1/2}_{2}(0, 1)}.$$ 

The last and (3.26) give the desired result.

(E) → (D). Let $v \in T(\Omega)$. Lemma 1 says that $\|\vec{v}\|_{W^{1/2}_{2}(0, 1)} \leq c \|v\|_{T(\Omega)}$. Hence

$$\left( \int_0^1 |\vec{v}(z)|^q \varphi(z)^{n-2} dz \right)^{1/q} \leq c \|v\|_{T(\Omega)}$$

which means the continuity of the map $T(\Omega) \ni v \mapsto \vec{v} \in L^q(\Gamma)$. The proof of the proposition is concluded.

The results of paper [6] enable us to complete the above proposition by one more assertion. Any of statements (A) – (E) above is equivalent to the following one

(F) If $1 \leq q < 2$, then

$$\int_0^1 \left[ \int_0^z \varphi(t)^{-2} \left( \int_z^1 \frac{dt}{\varphi(t)^{n-1}} \right)^{q-1} \right]^{\frac{1}{2-q}} \frac{dz}{\varphi(z)^{n-1}} < \infty,$$

and if $q \geq 2$, then

$$\sup_{r \in (0, 1)} \left( \int_0^r \varphi(z)^{n-2} dz \right)^{1/q} \left( \int_r^1 \varphi(z)^{1-n} dz \right)^{1/2} < \infty.$$
4. A domain with inner peak.

In this section we describe the space dual of $TW^2_1$ for a domain with the vertex of an inner peak at the boundary. Let $\Omega \subset \mathbb{R}^n$ be a domain with outer peak as above. Here we introduce a domain with inner peak as $\mathbb{R}^n \setminus \Omega$. For brevity we write below $T(\mathbb{R}^n \setminus \Omega)$ instead of $TW^2_1(\mathbb{R}^n \setminus \Omega)$. According to Theorems 7.3 and 7.4.2 in [5] (see also [3], [4]) the norm in $T(\mathbb{R}^n \setminus \Omega)$ is equivalent to the norm

$$\left( \|v\|^2_{W^{1/2}_2(\Gamma)} + \int_\Gamma v(x)^2 \varphi(z)^{-1} ds_x + |v|^2_1 \right)^{1/2}$$

for $n > 3$ and to the norm

$$\left\{ \|v\|^2_{W^{1/2}_2(\Gamma_0)} + |v|^2_1 + \int_\Gamma \frac{v(x)^2 ds_x}{\varphi(z) \log(z/\varphi(z))} \right. \left. + \iint_{\{x, \xi \in \Gamma: |z - \xi| > M(z, \xi)\}} |v(x) - v(\xi)|^2 \frac{M(z, \xi)^{-2} \chi_{(1/2, 2)}(z/\xi) ds_x ds_\xi}{|x - \xi| (\log(1 + |x - \xi|/M(z, \xi))^2) \right\}^{1/2}$$

for $n = 3$. Here we have used the same notation as in (2.6), and in case $n = 3$ an additional assumption is required that $\varphi'(z) = O(\varphi(z)/z)$ for $z \to 0$.

The norms just indicated induce the following norms in $W^{1/2}_2(0, 1)$, which are the restriction of norms in $T(\mathbb{R}^n \setminus \Omega)$ to the subspace of functions with support in $\Gamma$, depending only on $z$. Thus for $n > 3$ we can take the norm

$$\left( \int_0^1 u(z)^2 \varphi(z)^{n-3} dz + \iint_{\{z, \xi \in (0, 1): |z - \xi| < M(z, \xi)\}} |u(z) - u(\xi)|^2 \frac{M(z, \xi)^{n-2}}{|z - \xi|^2} dz d\xi \right)^{1/2},$$

and for $n = 3$ the norm in $W^{1/2}_2(0, 1)$ can be written in the form

$$\left( \int_0^1 \frac{u(z)^2 dz}{\log(z/\varphi(z))} + \iint \frac{|u(z) - u(\xi)|^2}{|z - \xi|^2} Q\left( \frac{|z - \xi|}{M(z, \xi)} \right) dz d\xi \right)^{1/2},$$

where

$$Q(t) = \begin{cases} \frac{1}{t}, & t \in (0, 1), \\ \frac{1}{(\log(et))}, & t > 1. \end{cases}$$

The following theorem gives a description of the space $(TW^1_2)^*$ for a domain with inner peak.

**Theorem 2.** Let $\Omega$ be a domain with outer peak and let $\{\mu_k\}_{k \geq 0}$ be the partition of unity from Theorem 1.

(i) Any functional $f$ in $T(\mathbb{R}^n \setminus \Omega)^*$ can be represented as the sum

$$f = \mu_0 f + (1 - \mu_0) \tilde{f} + (1 - \mu_0)(f - \tilde{f}),$$
where each term belongs to the same space. Furthermore the first term is in $W^{-1/2}(\Gamma_0)$ and has support in $\Gamma_0$. The second term is supported in $\{x \in \Gamma : z \leq z_0\}$ and belongs to the space $W^{1/2}_2(0,1)^*$ in the sense that estimate (3.1) holds in the right part of which $\Omega$ should be replaced by $\mathbb{R}^n \setminus \bar{\Omega}$. For the third term we have

$$(1 - \mu_0)(f - \bar{f}) = \sum_{k \geq 1} \mu_k (f - \bar{f})$$

and (3.2) is valid if $\Omega$ is replaced by $\mathbb{R}^n \setminus \bar{\Omega}$ on the right.

(ii) Suppose that $f_k \in W^{-1/2}_2(\Gamma_k)$ satisfy condition (ii) of Theorem 1, $k = 1, 2, \ldots$. Suppose also that $g$ and $h$ belong to $W^{1/2}_2(0,1)^*$ and $W^{-1/2}_2(\Gamma_0)$, respectively. Then each functional $f^{(1)} = \mu_0 h,$

$$T(\mathbb{R}^n \setminus \bar{\Omega}) \ni v \mapsto \langle f^{(2)}, v \rangle = \langle g, (1 - \mu_0)\bar{v} \rangle,$$

$$T(\mathbb{R}^n \setminus \bar{\Omega}) \ni v \mapsto \langle f^{(3)}, v \rangle = \sum_{k \geq 1} \langle \lambda_k f_k, v \rangle$$

is continuous in $T(\mathbb{R}^n \setminus \bar{\Omega})$. Moreover $f^{(1)} \in W^{-1/2}_2(\Gamma_0)$, and the norm of $f^{(3)}$ is dominated by the right part of (3.5).

The proof of Theorem 2 is similar to that of Theorem 1.

References


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